

The meeting will be preceded by training on the Role of Audit Committee, Risk & Finance starting at 9.30am.

# Agenda



**AGENDA for a meeting of the AUDIT COMMITTEE in COMMITTEE ROOM B at County Hall, Hertford on FRIDAY 7 July 2017 at 10.30AM**

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## **MEMBERS OF THE COMMITTEE (10)** (Quorum 3)

P Bibby, S N Bloxham, F Button (*Chairman*), J M Graham, C K Hogg, A K Khan, A J S Mitchell, T J Williams, W J Wyatt-Lowe (*Vice-Chairman*), P M Zukowskyj

Meetings of the Committee are open to the public (this includes the press) and attendance is welcomed. However, there may be occasions when the public are excluded from the meeting for particular items of business. Any such items are taken at the end of the public part of the meeting and are listed under "Part II ('closed') agenda".

Committee Room B fitted with an audio system to assist those with hearing impairment. Anyone who wishes to use this should contact main (front) reception.

**Members are reminded that all equalities implications and equalities impact assessments undertaken in relation to any matter on this agenda must be rigorously considered prior to any decision being reached on that matter.**

Members are reminded that:

- (1) if they consider that they have a Disclosable Pecuniary Interest in any matter to be considered at the meeting they must declare that interest and must not participate in or vote on that matter unless a dispensation has been granted by the Standards Committee;
- (2) if they consider that they have a Declarable Interest (as defined in paragraph 5.3 of the Code of Conduct for Members) in any matter to be considered at the meeting they must declare the existence and nature of that interest but they can speak and vote on the matter

## **PART I (PUBLIC) AGENDA**

### **1. MINUTES**

To confirm the minutes of the meeting held on 1 March 2017.

### **2. RISK MANAGEMENT UPDATE REPORT**

Report of the Director of Resources

**3. RISK FOCUS REPORT – CYBER SECURITY**

Report of the Director of Resources

**4. ANNUAL GOVERNANCE STATEMENT 2016/17 and CODE OF CORPORATE GOVERNANCE 2017/18**

Report of the Director of Resources

**5. 2016/17 ANNUAL ASSURANCE STATEMENT AND INTERNAL AUDIT ANNUAL REPORT**

Report of the Head of Assurance

**6. INTERNAL AUDIT PROGRESS REPORT**

Report of the Head of Assurance

**7. END-OF-YEAR REPORT ON THE TREASURY MANAGEMENT SERVICE AND PRUDENTIAL INDICATORS 2016/17**

Report of the Director of Resources

**8. SHARED ANTI- FRAUD SERVICE REPORT**

Report of the Head of Assurance

**9. WHISTLEBLOWING ANNUAL REPORT 2016-17**

Report of the Director of Resources

**10. FUTURE WORK PROGRAMME**

The Committee is invited to agree its future rolling work programme, suggested as follows:-

**11 September 2017:**

- HCC Audit Results Report 2016/17
- Response To The Audit Results Report – HCC (Including Fire Fighters' Pension Fund) Financial Statements
- Annual Statement Of Accounts 2016/17– HCC (Including Fire Fighters' Pension Fund) Financial Statements
- Audit Results Report 2016/17 – HCC Pension Fund
- Response To The Audit Results Report 2016/17 – HCC Pension Fund
- Risk Management Update
- Risk Focus Report
- Internal Audit Progress Report
- Shared Internal Audit Service Annual Report;

**1 December 2017:**

- Annual Audit Letter – HCC 2016/17
- Response to HCC Audit Results Report and preparation for 17/18 accounts
- Mid-Year Report on the Treasury Management Service and Prudential Indicators 2017/18
- Risk Management Update
- Risk Focus Report
- Internal Audit Progress Report
- SAFS Progress Report on 2017/18 Plan
- HFRS Statement of Assurance 2016/17

**26 March 2018:**

- Preparation for 2017/18 Accounts
- Audit Plan 2018/19 – County Council
- Audit Plan 2018/19 – Pension Fund
- Letters of Representation on Management and Oversight of The Hertfordshire County Council (Including Firefighters' Pension Fund) And Hertfordshire Pension Fund Accounts 2016/17
- Risk Management Annual Report 2016/17
- Risk Focus Report
- Internal Audit Progress Report
- Internal Audit Plan 2018/19
- SAFS HCC Anti-Fraud Action Plan 2018/19
- SAFS Annual Report

**25 July 2018:**

- Internal Audit Progress Report
- Risk Management Update Report
- Risk Focus Report
- HCC Audit Results Report 2017/18
- Response To The Audit Results Report – HCC (Including Fire Fighters' Pension Fund) Financial Statements
- Annual Statement Of Accounts 2017/18– HCC (Including Fire Fighters' Pension Fund) Financial Statements
- Audit Results Report 2017/18 – HCC Pension Fund
- Response To The Audit Results Report 2017/18 – HCC Pension Fund
- Annual Governance Statement 2017/18 and Code of Corporate Governance
- Annual Assurance Statement and Internal Audit Annual Report 2017/18
- End of Year Report on the Treasury Management Service and Prudential Indicators 2017/18
- Whistle Blowing Annual Report 2017/18

**If you require further information about this agenda please contact Theresa Baker, Democratic Services, on telephone no (01992) 556545 or email [theresa.baker@hertfordshire.gov.uk](mailto:theresa.baker@hertfordshire.gov.uk)**

Agenda documents are also available on the internet at:  
<http://cmis.hertfordshire.gov.uk/hertfordshire/Calendarofcouncilmeetings.aspx>